

4th Annual

# Fraud & Corruption Summit 2010

Join Peers to Fight Fraud & Expose Corruption: Real World Strategies for Prevention, Detection & Deterrence

## Keynotes & case studies from business & government sectors around the world including:

- Crawford Robinson, *Director, Global Compliance Investigations, AstraZeneca*
- Dave Verma, *Audit Investigations Manager, Brent Government, UK*
- Henrik Frössling, *Global Financial Risk Manager, Volvo 3P, Sweden*
- Kaur Siruli, *CGAP, Head of the Financial Control Department, Ministry of Finance of Estonia*
- Koen Albers, *Head of Internal Audit, VMF, Belgium*
- Koen Van Hove, *Security Officer ESTEC, European Space Agency (ESA), Belgium*
- Lidija Pernar, *Assistant Auditor General, State Audit Office, Croatia*
- Mark Babington, *Director and Head of the Fraud Practice Network, National Audit Office, UK & Chairman, ATHENA College of Auditors*
- Neil Fletcher, *Regional Security Adviser - Fraud & Investigations, BP International Limited*
- Peter Tickner, *Recently Director of Internal Audit, Metropolitan Police Authority, UK*
- Sergey Martynov, *Chief Audit Executive, Siberian Coal Energy Company & (President of Russia Chapter of the ACFE), Russia*
- Simon Scales, *Director Global Investigations, TNT Express*
- Sonal Desai, *General Manager - Finance, Central Engineering, Hindustan Petroleum Corporation Ltd, India*
- And more...

## Plus expert contributions from:

- Andrew Jennings, *Investigative Reporter & Author Specialising in Corruption in the Sports World (FIFA)!*
- David Burrill, *Director, Burrill Green*
- Graham Joscelyne, *Director, Joscelyne + Associates, Inc. & Immediate Past Chair, Audit Committee for the UN World Food Programme, Former Auditor General, World Bank, U.S.*
- Lady Olga Maitland, *CEO, Money Transfer International*
- Professor Pall Ásgeir Davidsson, *Ethikos, Reykjavik Business School, Iceland*
- Rosalind Wright CB QC, *Chairperson, Fraud Advisory Panel & Member, Supervisory Committee of OLAF, the European Anti-Fraud Office)*

**Don't miss - Interactive Workshop led by the by the TNT Global Investigation Team - "Informant, Evidence, Investigation, Breaches of Policy & the Dilemmas in Between"!**

## Agenda at a Glance:

### DAY 1 A STRATEGIC APPROACH TO FRAUD & CORRUPTION

- Current Landscape for Fraud & Corruption Risks: Existing & Emerging Trends
- Due Diligence, Integrity, Ethics & Governance

### DAY 2 REAL WORLD DETECTION & DETERRENCE OF FRAUD & CORRUPTION

- **Optional breakfast clinic:** Banking fraud & corruption roundtable: How do Multinational Banks Manage the Risk of Fraud in Practice?
- Fraud & Corruption Risk Management & Prevention Measures
- **Workshops:** A - Cyber Fraud, Identity Theft, E-procurement Fraud, Electronic & Plastic Card Fraud, Auditing the Security of Online Transactions; Workshop B: Spotting Fraudulent Behaviour

### DAY 3 INVESTIGATION, ENFORCEMENT & RECOVERY OF FRAUD & CORRUPTION

- Psychology & Interviewing Techniques
- **Break-Out Roundtables:** A - Banking Fraud & Corruption; B - Managing Fraud Risk
- Aftermath: Enforcement, Recovery & Evidence



17 - 19 March, 2010  
The Victory Services Club  
Marble Arch, London



### Fee Includes

Thematic Networking Activity:  
‘The Dramatic Pub Quiz’-New for 2010!

By Nigel Iyer

“Brings fraud to life in a most dramatic way!”

Rosalind Wright QC,

Chairperson, Fraud Advisory Panel

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Join Peers to Fight Fraud & Expose Corruption: Real World Strategies for Prevention, Detection & Deterrence

Expanding on the unprecedented success of previous summits held in Copenhagen, Stockholm & Brussels, MIS Training Institute EMEA is delighted to announce that the 4th Annual Fraud & Corruption Summit 2010 will be held in London in March 2010.

Focusing on the detection, prevention & investigation of fraud, corruption & financial crime, an unrivalled international speaker panel from across business & government sectors globally will share direct experiences & successes gained from managing recent projects & cases involving fraud & corruption.

This summit traditionally enjoys the support of prominent government departments & the international community working in the fraud prevention & anti-corruption arena. The unique learning format – case studies, typically inaccessible keynote speakers, panel discussions, thought leadership exercises, streamed workshops, & thematic dedicated networking activities (new for 2010 - 'Dramatic Fraud Quiz!') - will make this the ideal opportunity for internal audit directors, fraud prevention & investigation practitioners, security directors & risk compliance units, to tackle fraud & corruption risks amongst like-minded peers.

## New Focuses for 2010

- Optional breakfast clinic on banking fraud & corruption
- Cyber fraud, identity theft, e-procurement fraud, electronic & plastic card fraud, auditing the security of online transactions
- Fighting technology based fraud & audit forensics - workshop
- New international perspectives – case studies & keynotes from Iceland, India, Belgium, Russia, Estonia, Croatia & more...
- Due diligence for contract & procurement risk, transparency in public financial management
- Ethics & Governance - keynote

## New Networking Activity for 2010 - The Dramatic Pub Quiz!

"Brings fraud to life in a most dramatic way!"

Ros Wright QC, Chairperson, Fraud Advisory Panel (quote from the "Greed" Dinner at Fraud & Corruption Summit 2009).

Relax with peers over drinks at this new thematic 'pub quiz' style evening! Short, inter-linking scenes depicting tales of temptation and greed will be played out in a corporate setting by professional actors. You will be asked in teams to offer your views on the unfolding action, what went wrong and how things should have been done better. Prizes will be awarded to the most perceptive team. The Dramatic Pub Quiz is composed by Nigel Iyer

## Meet the International Speaker Panel

- Allan McDonagh, *Director, Hibis Europe*
- Andrew Jennings, *Investigative Reporter & Author Specialising in Corruption in the Sports World (FIFA) (UK)*
- Bob Kendall, *A former senior police officer from the UK, TNT Express*
- Caroline Waddicor, *Managing Director, Hibis Europe*
- Cecilia Garcia Podoley, *Senior Counsel Integrity and CFE, TNT Express*
- Crawford Robinson, *Director, Global Compliance Investigations, AstraZeneca*
- Daniel Chapman, *Forensics Investigation Manager, TNT Express*
- Dave Verma, *Audit Investigations Manager, Brent Government, UK*
- David Burrill, *Director, Burrill Green*
- Graham Joscelyne, *Director, Joscelyne + Associates, Inc. & Immediate Past Chair, Audit Committee for the UN World Food Programme, Former Auditor General, World Bank, U.S.*
- Helenne Doody, *Fraud Risk Management Specialist*
- Henrik Frössling, *Global Financial Risk Manager, Volvo 3P, Sweden*
- Kaur Siruli, *CGAP, Head of the Financial Control Department, Ministry of Finance of Estonia*
- Koen Albers, *Head of Internal Audit, VMF, Belgium*
- Koen Van Hove, *Security Officer ESTEC, European Space Agency (ESA), Belgium*
- Lady Olga Maitland, *CEO, Money Transfer International*
- Lidija Pernar, *Assistant Auditor General, State Audit Office, Croatia*
- Lois A Saville, *Navigant Consulting, Inc.*
- Mark Babington, *Director and Head of the Fraud Practice Network, National Audit Office, UK & Chairman, ATHENA College of Auditors*
- Drs. Maarten L.J. de Jong, *Director & Owner, Ethics & Business Integrity Services b.v., The Netherlands*
- Neil Fletcher, *Regional Security Adviser - Fraud & Investigations, BP International Limited*
- Nigel Iyer, *Director, Septia Group*
- Peter Tickner, *Recently Director of Internal Audit, Metropolitan Police Authority (Scotland Yard), UK*
- Professor Páll Ásgeir Davidsson, *Ethikos, Reykjavik Business School, Iceland*
- Rosalind Wright CB QC, *Chairperson, Fraud Advisory Panel & Member, Supervisory Committee of OLAF, the European Anti-Fraud Office)*
- Sergey Martynov, *Chief Audit Executive, Siberian Coal Energy Company & (President of Russia Chapter of the ACFE), Russia*
- Simon Scales, *Director Global Investigations, TNT Express*
- Sonal Desai, *General Manager - Finance, Central Engineering, Hindustan Petroleum Corporation Ltd, India*

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World-Check provides its global database of heightened-risk individuals & businesses to more than 3 000 institutions, including 47 of the world's 50 largest financial institutions, & hundreds of government agencies. The database is updated daily in real-time by an international research team, & is derived from hundreds of thousands of public sources. Coverage includes money launderers, financial criminals, terrorists, narcotics traffickers & sanctioned entities, to name but a few. In addition, the database tracks Politically Exposed Persons (PEPs), their family members & associate networks worldwide. World-Check's database & tools find direct application in financial compliance, Anti Money Laundering (AML), Know Your Customer (KYC), PEP screening, Enhanced Due Diligence (EDD), fraud prevention, government intelligence & other identity authentication, background screening & risk prevention practices. World-Check offers a downloadable database for the automated screening of an entire customer base, as well as a simple online service for quick customer screening.

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Navigant Consulting is a specialised global consulting firm providing Management Consulting, Dispute & Investigation Advice, and Programme Services to companies managing disputes, regulatory challenges and significant business challenges. Free of the legacy and conflicts of traditional accounting and audit firms, we deliver objective expert advice to the legal sector, the financial services and insurance sectors, the construction industry, and the public sector. Our professionals include experts in business operations and finance transformation; forensic accounting; regulatory compliance; information management; construction management; claims evaluation and litigation support; and public policy formulation. Navigant's global team has more than 2000 consultants. [www.navigantconsulting.co.uk](http://www.navigantconsulting.co.uk)

### Septia

Awareness training is one of Septia's core competences. Common sense tells us that awareness of a threat is the first crucial step towards managing it. Employees naturally focus on the daily pressures and practicalities of their job, so raising their awareness of ethical questions and how ethics are applied to the daily routine requires active effort. Through innovative workshops which include cases, realistic scenarios, dilemmas, educational games and sometimes even theatre, Septia explores how ethical issues relate to your day to day work. [www.septiagroup.com](http://www.septiagroup.com)

## Association Partners

### IIA - UK, Belgium & Russia

Established in 1941, The Institute of Internal Auditors (IIA) is an international professional association of more than 130,000 members with global headquarters in Altamonte Springs, Fla., United States. Throughout the world, The IIA is recognized as the internal audit profession's leader in certification, education, research, & technological guidance. For more information visit [www.theiaa.org](http://www.theiaa.org) or email [iaa@theiaa.org](mailto:iaa@theiaa.org)

### Counter Fraud Professional Accreditation Board

The CFPAB seeks to undertake the following role across the United Kingdom: To establish & maintain professional standards in the delivery of a portfolio of professional training courses in the field of counter fraud work, encompassing a Foundation Level syllabus and qualification. To formally recognise the successful completion of a portfolio of professional training courses in the field of counter fraud work encompassing a Foundation Level syllabus & qualification.

### International Compliance Association

The ICA is a professional organisation dedicated to the pursuit of excellence in compliance, anti-money laundering & financial crime prevention. Operating in the financial services sector, the ICA delivers accredited learning, developing & supporting professionals globally. For novice & experienced practitioners, the ICA certificate & diploma programmes are a recognised mark of achievement. Through dialogue, information exchange & comprehensive resource material, the Association helps individuals to improve business practice & minimise risk. [www.int-comp.org](http://www.int-comp.org)

### ISACA London Chapter

ISACA - Information Systems Audit and Control Association ISACA's membership-more than 35,000 strong worldwide-is characterised by its diversity. Members live & work in more than 100 countries & cover a variety of professional IT-related positions-to name just a few, IS auditor, consultant, educator, IS security professional, regulator, chief information officer & internal auditor. They work in nearly all industry categories, including financial & banking, public accounting, government & the public sector, utilities & manufacturing. Its new Certified Information Security Manager (CISM) certification uniquely targets the information security management audience. For more information e-mail the membership department at [membership@isaca.org](mailto:membership@isaca.org) or visit to [www.isaca.org](http://www.isaca.org)

### ISSA UK Chapter

The Information Systems Security Association (ISSA)® is a not-for-profit international organisation of information security professionals & practitioners. It provides education forums, publications & peer interaction opportunities that enhance the knowledge, skill & professional growth of its members.

### Basel Institute on Governance

The Basel Institute on Governance is an independent non-profit "think-and-do-tank" specialised in public, global and corporate governance, with a particular focus on corruption, money laundering and international asset recovery. Combining academic research with hands-on practical experience in the field, we work globally with companies, governments and non-government actors on policy processes and capacity building. [www.baselgovernance.org](http://www.baselgovernance.org)

### Computer Crime Research Center

The Computer Crime Research Center (CCRC) is a non-profit organization which conducts research on the problems of computer crime and cyber terrorism. The mission of the CCRC is to warn about unlawful acts involving computers and information technologies. The web site of CCRC [www.crime-research.org](http://www.crime-research.org) provides daily updated news about online threats and a regular articles column. CCRC also support a discussion forum about online fraud.

## Publishing Partner

### Gower Publishing

Gower Publishing is recognised as one of the world's leading publishers in current best practice in business & management, with a particular niche in books on fraud & corruption. The Gower publishing programme covers many of the main business processes & functions & it is continuously developing new titles. MIS recommends Gower titles at our Fraud conferences and courses, & you will find a selection of books on display at many of them. Visit the MIS page [www.gowerpublishing.com/mis-gowerpartnership](http://www.gowerpublishing.com/mis-gowerpartnership) on the Gower website for details of our recommended titles.

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Join Peers to Fight Fraud &amp; Expose Corruption: Real World Strategies for Prevention, Detection &amp; Deterrence

## Day One: Wednesday 17th March 2010

### A Strategic Approach to Preventing, Deterring & Frustrating Fraud & Corruption

#### Current Landscape for Fraud & Corruption Risks: Existing & Emerging Trends

##### 08:30 COFFEE & REGISTRATION

##### 09:00 CHAIRMAN'S WELCOME REMARKS

Graham Joscelyne, *Director, Joscelyne + Associates, Inc. & Immediate Past Chair, Audit Committee for the UN World Food Programme, Former Auditor General, World Bank, U.S.*

##### 09:10 THE SCOPE FOR FRAUD & CORRUPTION IN PUBLIC LIFE

- The growth of the Public Sector - expanding opportunity
- The expanding remit of government
- Efficiency savings - the shrinkage of the back office
- Mixed messages - a parliamentary scenario
- The public sector - responding more effectively
- Lessons on corruption

Mark Babington, *Director and Head of the Fraud Practice Network, National Audit Office, UK & Chairman, ATHENA College of Auditors*

##### 09:50 SUPREME AUDIT INSTITUTIONS ROLE & PARTICIPATION IN FIGHTING FRAUD & CORRUPTION

- Experiences from Supreme Audit Institutions of extracted countries,
- Croatian SAI's experience & key learning points from the SAI Guidelines for auditors on how to act in case of suspicion of fraud & corruption
- Proposal of possible content of guidelines on prevention of corruption in the public sector

Lidija Pernar, *Assistant Auditor General, State Audit Office, Croatia*

##### 10:20 ENSURING TRANSPARENCY & GOVERNANCE IN PUBLIC FINANCIAL MANAGEMENT: COUNTRY PERSPECTIVES ON FIGHTING FRAUD & CORRUPTION

- Can public confidence & trust in organisations be recaptured?
- How do international institutions ensure internal activities are in compliance with your own policies?
- Recent ratified laws & international conventions on corruption
- What mechanisms outside of the institutions influence behaviour?
- Highlighting the need for transparency in monetary policy to avoid fraud
- Transparency governing how contracts for the delivery of goods & public services are awarded
- The role of regional commissions - what needs to happen? Is it working?

Chaired by: Graham Joscelyne, *Director, Joscelyne + Associates, Inc. & Immediate Past Chair, Audit Committee for the UN World Food Programme, Former Auditor General, World Bank, U.S.*

Panelists: Mark Babington, *Director and Head of the Fraud Practice Network, National Audit Office, UK & Chairman, ATHENA College of Auditors*; Drs. Maarten L.J. de Jong, *Director & Owner, Ethics & Business Integrity Services b.v.*; Kaur Siruli, *CGAP, Head of the Financial Control Department, Ministry of Finance of Estonia*, Lidija Pernar, *Assistant Auditor General, State Audit Office, Croatia*, Sonal Desai, *General Manager - Finance, Central Engineering, Hindustan Petroleum Corporation Ltd, India*

##### 11:00 MORNING COFFEE BREAK

##### 11:20 CURRENT LANDSCAPE FOR FRAUD & CORRUPTION RISK IN ICELAND

Fascinating keynote session looking at fraud & corruption in Iceland! A key question that Professor Davidsson & colleagues are currently researching is how Iceland could measure at the top of Transparency International's Corruption Perceptions Index & nonetheless be subject to questionable business practices & nepotism to such a degree that it brought the country's economy to its knees?

Professor Páll Ásgeir Davidsson, *Ethikos, Reykjavik Business School, Iceland*

##### 12:00 FINANCIAL INSTITUTIONS PARTICIPATION IN FIGHTING CORRUPTION

- Bribery & corruption – FATF recommendations
- The crucial role of financial institutions in detecting & reporting suspicious transactions associated with bribery
- New challenges raised by asset recovery
- How do the findings of the OECD Working Group on Bribery supports financial institutions in uncovering more foreign bribery?

Lady Olga Maitland, *CEO, Money Transfer International*

##### 12:40 LUNCH

##### 13:40 HOW TO PREVENT & DETECT FRAUDS & OTHER MISUSE OF THE EUROPEAN UNION STRUCTURAL FUNDS

- Systems implemented in EU members states for distributing grants
- Different control measures to verify the correctness & avoid frauds
- Examples of deception scenarios that the control system must be able to stop
- Giving audit assurance that the funds have been used for the right purpose & the management systems do work

Kaur Siruli, *CGAP, Head of the Financial Control Department, Ministry of Finance of Estonia*

##### 14:20 SECTOR SPECIAL INTEREST ROUNDTABLES

Please note the focuses of these discussions may change, according to the sector biases represented in the audience.

- INSURANCE FRAUD
- MERGERS & ACQUISITIONS CORRUPTION CHECKS
- TACKLING CORRUPTION IN THE DEFENCE & SECURITY SECTOR

##### 15:20 AFTERNOON TEA BREAK

#### Due Diligence, Integrity, Ethics & Governance

##### 15:40 USING THE GLOBAL ETHICS & INTEGRITY BENCHMARKS

- How should Executives, the Ethics Officer &/or Internal Auditor approach this sensitive subject?
- What key issues should they watch out for when doing an assessment?
- How do you determine a level of ethics maturity that's appropriate for your organization's needs?
- What are the next logical steps in ethics maturity & what are the milestones for success?

Graham Joscelyne, *Director, Joscelyne + Associates, Inc. & Immediate Past Chair, Audit Committee for the UN World Food Programme, Former Auditor General, World Bank, U.S.*

##### 16:20 THE FIGHT AGAINST INTERNATIONAL CORRUPTION IN THE DEVELOPMENT BUSINESS

- The playing field: donor agencies, borrowing governments & Contractors
- Types of Corruption observed
- An example of an International Corruption scheme
- The Response: Counter Measures
- The Ethics & Business Integrity Accountability Framework

Drs. Maarten L.J. de Jong, *Director & Owner, Ethics & Business Integrity Services b.v., The Netherlands*

##### 17:00 BRIBERY & CORRUPTION IN THE SPORTING WORLD & FIFA WORLD CUP 2010 ACTIVITIES: LESSONS FOR BUSINESSES

Mr. Andrew Jennings, *Investigative Reporter & Author Specialising in Corruption in the Sports World (FIFA) (UK)*

In May 2006, British investigative reporter Andrew Jennings' book *Foul* [11] caused controversy within the football world by detailing an alleged international cash-for-contracts scandal following the collapse of FIFA's marketing partner ISL, & revealed how some football officials have been urged to secretly repay the sweeteners they received. The book also spoke of blatant vote-rigging that went on behind closed doors in the fight for the continued control of FIFA. An internal investigation within FIFA was halted by the President of FIFA, which was seen by many as proof of his guilt. Andrew works with journalists and wider civil society who are working hard to combat corruption. Especially interesting keynote as we run up the World Cup in the summer of 2010. The corruption inside South Africa is more than adequately matched by the corruption FIFA is bringing to the party. Allocation of contracts from construction to hospitality, ticketing & travel packages is already unacceptable. Andrew will talk about whistle-blowing & how he cracks organisations with major dishonesty problems. Over the last few decades this has included Scotland Yard's Flying Squad, CIA and Iran Contra, the Palermo Mafia & their UK operations & Central Asian heroin trafficking. He has munched caviar with the Chechen Mob in the Caucasus Mountains, got a suspended jail sentence from the International Olympic Committee (prior to the Salt Lake City bribes scandal) for alleging corruption & is banned from all their functions by FIFA. He encourages businesses & governments to think about their own relationships with media – & why they don't demand enough investigation & disclosure. He will also give some insights into corruption in football in Uganda and East Africa! • Latest FIFA allegations • Bribes, fixers & ticket rackets - potential for corruption at the World Cup 2010 South Africa • 'How to Buy Gold Medals' • Exposing corruption in the sporting world • Current investigations & cracking organisations with dishonesty problems

##### 17:45 CLOSE OF DAY ONE

##### 18:30 - 20:30 "THE DRAMATIC FRAUD PUB QUIZ" – THE NEW NETWORKING ACTIVITY FOR 2010

"Brings fraud to life in a most dramatic way!" - Rosalind Wright QC, Chairperson, Fraud Advisory Panel (quote from the "Greed" Dinner at Fraud & Corruption Summit 2009). Relax with peers over drinks at this new thematic 'pub quiz' style evening! Short, inter-linking scenes depicting tales of temptation & greed will be played out in a corporate setting by professional actors. You will be asked in teams to offer your views on the unfolding action, what went wrong & how things should have been done better. Prizes will be awarded to the most perceptive team. The Dramatic Pub Quiz is composed by Nigel Iyer.



Key Case Study

Key Case Study

Panel

Keynote from Iceland

Keynote

Key Case Study

Roundtables

Keynote

Special Keynote

## Day Two: Thursday 18th March 2010

### Real World Detection & Deterrence of Fraud & Corruption

#### Optional Breakfast Clinic

##### 07:30 - 08:30 BANKING FRAUD & CORRUPTION ROUNDTABLE: HOW DO MULTINATIONAL BANKS MANAGE THE RISK OF FRAUD IN PRACTICE?

The roundtable / workshop will look at the role of banks in facilitating corruption, & consider how financial institutions can fight against fraudulent & corrupt customers in addition to other important frauds within banking.

- How can corrupt rulers hide stolen money in the world's financial markets? Exposing corruption
- What are the constraints that hinder banks?
- Would better international regulation help?
- How do multinational banks manage the risk of fraud in practice?
- Insider trading & market abuse
- Identity fraud & plastic cards
- ATM fraud updates
- Does experience of tackling terrorist finance offer solutions for dealing with corruption?
- Can international transparency initiatives ensure that public funds are fully accounted for?

#### Fraud & Corruption Risk Management & Prevention Measures

08:45 COFFEE

##### 09:00 CHAIRMAN'S RE-OPENING

Simon Scales, *Director Global Investigations, TNT Express*

##### 09:10 POLICING FRAUD

Peter Tickner, *Recently Director of Internal Audit, Metropolitan Police Authority (Scotland Yard), UK*

##### 09:40 WHO GIVES A WHISTLE? SETTING UP A MEANINGFUL SYSTEM FOR RAISING CONCERNS

- Setting it up – Why have it? The rationale; The options; The Data Privacy Challenges; Cultural influences; Communication, communication ...; Who should manage it & how?
- Operating a reporting system - 'Good faith' reports; Anonymity & Confidentiality; Objectivity; Timeliness; Management reactions; Retaliation

Crawford Robinson, *Director, Global Compliance Investigations, AstraZeneca*

##### 10:10 FRAUD & CORRUPTION IN TENDERING - A PERSPECTIVE FROM INDIA

Sonal Desai, *General Manager - Finance, Central Engineering, Hindustan Petroleum Corporation Ltd, India*

##### 10:50 MORNING COFFEE BREAK

##### 11:10 LEGAL PITFALLS: SELF REPORTING & CORRUPTION ENFORCEMENT

- How are we implementing proper & diligent management interventions?
- Risk Assessments that focus on the highest risks
- Fraud Prevention unit & Law Enforcement agencies – towards prosecution
- Should ethics or legislation define a company's actions?
- Legal challenges on fraud: top things that every internal auditor & in-house investigator should know
- Developing robust investigative practices that are successful in many global jurisdictions
- Legal advice on interviewing witnesses
- International initiatives - what value they add to a corporate anti-corruption programme
- Bribery Bill & the future of self reporting & corruption enforcement in the UK
- How can you reconcile fighting against corruption & permitting facilitating payments?
- How regulators assess the limits of what is permissible?
- What is the OECD guidance on facilitating payments?

Chaired by: Rosalind Wright CB QC, *Chairperson, Fraud Advisory Panel & Member, Supervisory Committee of OLAF, the European Anti-Fraud Office)*

Panelists: Mark Babington, *Director and Head of the Fraud Practice Network, National Audit Office & Chairman, ATHENA College of Auditors*; Dave Verma, *Audit Investigations Manager, Brent Government, UK*, Crawford Robinson, *Director, Global Compliance Investigations, AstraZeneca*

##### 11:50 HIGH RISK AREAS FOR PREVENTING FRAUD & CORRUPTION - LOCAL AUTHORITIES PERSPECTIVE

- Education fraud & social services
- Contracts & data matching
- Environmental services & corporate services

Dave Verma, *Audit Investigations Manager, Brent Government, UK*

##### 12:20 SELECTING A SECURITY SERVICE PROVIDER & ACHIEVING A PARTNERED APPROACH & CONTROL OVER A TAILORED SECURITY SERVICE

Hear insights into how ESA selected a new security provider following a tendering process in 2008:

- Looking beyond the traditional providers
- Involvement of the provider in gap analysis & solution identification
- Standardising the approach of security at the different sites
- Cost/benefit ratios of different packages
- Prioritising of the implementation of new security rules

Koen Van Hove, *Security Officer ESTEC, European Space Agency, Belgium*

##### 13:00 LUNCH

##### 14:00 PLEASE SELECT THE INTERACTIVE WORKSHOP YOU WOULD LIKE TO ATTEND:

###### WORKSHOP A - CYBER FRAUD, IDENTITY THEFT, E-PROCUREMENT FRAUD, ELECTRONIC & PLASTIC CARD FRAUD, AUDITING THE SECURITY OF ONLINE TRANSACTIONS

- Cyber fraud
- Electronic & plastic card fraud
- Auditing the security of online transactions
- Data security & identity fraud
- Latest forensic & data analysis tools for auditors
- Tried & tested methods of transaction tracing
- Computerized search techniques
- Computer-aided techniques, forensic techniques
- Fraud analytics & pattern recognition technologies – what is the best out there?
- Operationalizing fraud & loss-management systems
- Forensic & data analysis tools for auditors
- Selecting your technology partners
- Handling digital evidence
- Successful strategies for dealing with new scams
- Identity theft
- E-procurement fraud

###### WORKSHOP B - SPOTTING FRAUDULENT BEHAVIOUR

Led by: Nigel Iyer, *Director, Septia Group & Caroline Waddicor, Managing Director, Hibis Europe*

Greed, one of the major drivers behind fraud, is human nature. We should therefore not be being surprised each time fraud & corruption surfaces, we should start accepting that fraud & deception are part of normal human behaviour. So is the ability to see fraudulent behaviour actually easy or in fact rather difficult? This workshop session will explore how you can identify the behaviours signs of fraud & corruption at work. It will examine a variety of common situations ("the fraud triangle", how fraud & corruption is discovered, how we react to fraud & corruption when it stares us in the face & more) & the behaviour of the main characters involved.

- Beyond reference checking: employing effective staff screening
- Dealing with dishonest staff members / morality issues

##### 15:50 AFTERNOON TEA BREAK

##### 16:10 PROBLEMS & PECULIARITIES OF COMMERCIAL CORRUPTION IN RUSSIA

Sergey Martynov, *Chief Audit Executive, Siberian Coal Energy Company & (President of Russia Chapter of the ACFE), Russia*

##### 16:50 QUANTIFYING & MEASURING EFFECTIVE FRAUD PREVENTION METHODS & VALUE CREATION

- How can you assess the cost effectiveness of different measures to tackle fraud & corruption?
- Recording & documenting complex financial transactions for clients, regulators & courts
- Acquiring accurate information about the nature & cost of fraud to our organisations
- The value & challenges of compliance in harder financial times: how can you add value?
- How can we reduce this cost as quickly as possible
- What impact will the economic recession have on anti-corruption & fraud prevention practices?
- How can audit position itself as an innovator during the harder times? Maximising available resources
- Optimal KPI measurements for fraud & corruption?
- Latest cost-effective solutions to the problem of fraud across sectors & national boundaries
- Combining pre-emptive & reactive projects to achieve the greatest impact

Panelists: Henrik Frössling, *Global Financial Risk Manager, Volvo 3P, Sweden*, Neil Fletcher, *Regional Security Adviser - Fraud & Investigations, BP International Limited*, Koen Albers, *Head of Internal Audit, VMF, Belgium*, Lois A Saville, *Navigant Consulting, Inc.*

##### 17:30 CLOSE OF DAY TWO

Keynote

Case Study

Case Study

Panel

Key Case Study

Panel

Join Peers to Fight Fraud &amp; Expose Corruption: Real World Strategies for Prevention, Detection &amp; Deterrence

## Day Three: Friday 19th March 2010

### Investigation, Enforcement & Recovery of Fraud & Corruption

#### Investigations, Psychology, Interviewing Techniques & Evidence

08:55 COFFEE &amp; CHAIRMAN'S RE-OPENING

Rosalind Wright CB QC, *Chairperson, Fraud Advisory Panel & Member, Supervisory Committee of OLAF, the European Anti-Fraud Office*)

09:00 CORRUPTION &amp; INVESTIGATIVE INTERVIEWING

- developing techniques of investigative interviewing in the fields of intelligence, law enforcement, and media
- asset protection but also value creation

David Burrill, *Director, Burrill Green*

- ability to conduct consistent levels of investigative interviewing
- recognising linguistic, cultural & other behaviours

10:00 MANAGING THE IMPACT OF LARGE INVESTIGATIONS GLOBALLY

Neil Fletcher, *Regional Security Adviser - Fraud & Investigations, BP International Limited*

10:40 MORNING COFFEE BREAK

11:00 WHY DO SO MANY AUDITORS FAIL TO FIND FRAUD?

Recent frauds in the US, Europe and Middle East have rarely been identified by the external and internal auditors. Therefore it is time to look at the audit process and question why frauds are not being identified until it is too late.

- Why do so many auditors never find fraud?
- Should whistleblowing be the main tool for fraud prevention?

Allan McDonagh, *Director, Hibis Europe*

- What are the skills and techniques of a "Fraud Whisperer"

11:30 FRAUD &amp; THE RECESSION: WHAT ARE THE 3 MAIN CHALLENGES FACING YOU IN YOUR ROLE TODAY? – AUDIENCE INTERACTIVE VOTING PANEL

- Re-organisation
- M&A activity
- Making our revenue
- Decreasing cost

- Retention of auditors (salary freeze)
- Hiring qualified auditors
- Gaining more clients
- Dealing with lack of senior management support

12:00 FRAUD RISK MANAGEMENT IN A LARGE ORGANISATION &amp; IN REMOTE LOCATIONS

- Creating fraud-management strategies

Henrik Frössling, *Global Financial Risk Manager, Volvo 3P, Sweden*

- Realigning fraud systems, policies, & organisation

12:30 LUNCH

13:30 KNOW YOUR CUSTOMER, EMPLOYEE &amp; SUPPLY CHAIN: HOW TO AVOID DOING BUSINESS WITH FRAUDSTERS

- How to assess the country risk in each country you operate in
- How to set up an effective client on boarding process

Panellists: Henrik Frössling, *Global Financial Risk Manager, Volvo 3P, Sweden*, Allan McDonagh, *Director, Hibis Europe*

- How to regularly screen your client base
- How to monitor high risk relationships

14:00 LOW-COST &amp; EFFECTIVE FRAUD PREVENTION &amp; DETECTION MECHANISMS: FRAUD RISK MANAGEMENT IN A 450M EURO SEMI-PUBLIC ORGANISATION

- Important steps to set the right tone at the top
- Work with the business to identify applicable fraud scenario's
- Focus on affordable and easy to implement fraud prevention controls

Koen Albers, *Head of Internal Audit, VMF, Belgium*

- Imbedding fraud risk management in operational & assurance processes
- What are some of the most efficient & effective fraud detection methods

14:30 PLEASE SELECT YOUR PREFERRED ROUNDTABLES:

14:30 - 15:45 FRAUD RISK MANAGEMENT – INTERACTIVE ROUNDTABLE

Led by: Helenne Doody, *Fraud Risk Management Specialist*

Helene trained as a chartered accountant at PricewaterhouseCoopers, working for seven years in its forensic services team in the UK & Australia. During this time Helene specialised in fraud management. Helene has a Graduate Certificate in Fraud Investigation through La Trobe University in Australia & has a Graduate Certificate in Fraud Management through the University of Teeside in the UK. Helene has also worked in industry, focusing on risk management & internal control. Helene joined CIVIA as a specialist within its Innovation & Development department in 2007. She has been responsible for producing CIVIA's second edition of Fraud Risk Management: A Guide to Good Practice.

Fraud can affect any organisation regardless of size, sector or location & the current economic crisis has presents challenging conditions that may increase the likelihood of fraud. This session will draw on case studies from a range of organisations that have fallen victim to fraud - organisations that probably thought it wouldn't happen to them! Participants in this practical, interactive session will be able to:

- Understand the context, extent & scale of the fraud problem
- Get an overview of important legal & corporate governance requirements
- Identify the key components of an anti-fraud strategy, including tools & techniques for fraud prevention, fraud detection & fraud response

14:30 - 15:45 INFORMANT, EVIDENCE, INVESTIGATION, BREACHES OF POLICY &amp; THE DILEMMAS IN BETWEEN

Led by: Bob Kendall, *A former senior police officer from the UK; Dan Chapman, A forensic specialist with Expert Witness status; Cecilia Garcia Podoley, Senior Counsel Integrity and CFE, TNT Express*

A unique & interactive extended session tackling the simple dilemmas affecting ordinary people, dealing with the extraordinary world of fraud. Led by the TNT Global Investigation Team, this session will involve all delegates in providing them with a unique approach when returning to their own organisations in being able to understand, recognise & assess the "red flags", & how to deal with them. This is one not to miss, as the company in question will be "your company"!

15:45 AFTERNOON TEA BREAK

#### Aftermath: Enforcement, Recovery & Evidence

16:00 ENFORCEMENT PRIORITIES IN A CHALLENGING ECONOMIC ENVIRONMENT: PARTNERSHIPS WORKING TOGETHER TO ACHIEVE RESULTS

- Using knowledge-based intelligence to fight internal fraud
- Developing & maintaining collaborative working practices
- Collecting intelligence
- Preserving relevant evidence uncovered during the investigatory process to obtain a freezing order

- Asset tracing & recovery
- Preserving reputation & managing profile after an incident
- Keeping up to date with legal & prosecutorial trends - regional compliance programmes
- Intelligence gathering & acting on sensitive leads

Chaired by: Rosalind Wright CB QC, *Chairperson, Fraud Advisory Panel & Member, Supervisory Committee of OLAF, the European Anti-Fraud Office*), Lois A Saville, *Navigant Consulting, Inc.*

16:30 CLOSE OF DAY THREE

#### About the Speakers...

Andrew Jennings, *Investigative Reporter & Author Specialising in Corruption in the Sports World (FIFA)*

Prior to her current role as CEO of the Money Transfer International, Lady Olga was instrumental in 2005 with the establishment of IAMTIN, the trade association for all forms of money transfers including money service businesses, prepaid cards, mobile telcom companies, banks & the utilities such as SWIFT & Earthport. In addition she was responsible for relations with the regulators including, FATF, OFAC, FINCEN, the FSA & Central Banks. Lady Olga is also CEO of the Defense & Security Forum. She is a regular speaker on the global perspective of money transfers & an anti-money laundering issues. Before her appointment to IAMTIN Lady Olga was an international consultant. From 1992 to 1997 she was Conservative Member of Parliament. Prior to that she had been a leading journalist with the Sunday Express & other publications.

Crawford Robinson, *Director, Global Compliance Investigations, AstraZeneca*

A qualified Biochemist, Crawford has an M.Sc. in Information Security, an MBA & is a graduate of the JFK School of Government. He joined AstraZeneca in 2007 from Kraft Foods where he was Security Director responsible for all operations outside North America, including investigations. Crawford previously spent 18 years in the police service with national & international assignments which included Boston Police Department, security advisor to the International Criminal Court at The Hague, & various UK government bodies.

Graham Joscelyne, *Director, Joscelyne + Associates, Inc. & Immediate Past Chairman, Audit Committee for the UN World Food Programme, Former Auditor General, World Bank U.S.*

Leadership experience in governance & each of the key underpinning assurance functions: risk management, internal auditing, & compliance. His clients range from multilateral organisations, through the public sector, to large private sector financial services companies. He is involved in executive facilitation, strategy-setting, quality reviews, & compliance monitoring. He is knowledgeable about fraud & corruption, anti-money laundering, & ethical issues. He is Managing Director of Joscelyne + Associates, Inc & was the Auditor General of the World Bank Group. Currently he is a member of the Audit Committee of the UN Population Fund & Chairs the Audit Committee of the World Food Programme. He is active in the Institute of Internal Auditors, & leads & participates in quality assurance reviews.

Koen Van Hove, *Security Officer ESTEC, European Space Agency*

Koen started his security career in aviation in 1993 as Contingency Planning Manager with Sabena followed by an assignment as Security Manager with Virgin Express NV which included extensive worldwide travelling. Since 2007 he is the Security Officer of the European Space Research and Technology Centre (ESTEC) which is the largest site & the technical centre of ESA & serves as the development centre for most ESA projects & as the test centre for the Agency.

Lady Olga Maitland, *CEO Money Transfer International*

Prior to her current role as CEO of the Money Transfer International, Lady Olga was instrumental in 2005 with the establishment of IAMTIN, the trade association for all forms of money transfers including money service businesses, prepaid cards, mobile telcom companies, banks & the utilities such as SWIFT & Earthport. In addition she was responsible for relations with the regulators including, FATF, OFAC, FINCEN, the FSA & Central Banks. Lady Olga is also CEO of the Defense & Security Forum. She is a regular speaker on the global perspective of money transfers & an anti-money laundering issues. Before her appointment to IAMTIN Lady Olga was an international consultant. From 1992 to 1997 she was Conservative Member of Parliament. Prior to that she had been a leading journalist with the Sunday Express & other publications.

Henrik Frössling, *Global Financial Risk Manager and Head of Internal Control, Volvo 3P*

Henrik Frössling has a long experience of implementing robust processes supporting businesses & preventing fraud & corruption. This experience has been acquired through positions inside the Volvo Group and positions outside the group as CFO for companies in different businesses & when consulting companies. He joined Volvo 3P in 2003 and was the project manager for the Sarbanes-Oxley Act programme (SOX) within Volvo 3P. Volvo 3P is responsible for the Product Development, Purchasing, Product Planning & Product Range Management for the Volvo Group Truck operations.

Drs. Maarten L.J. de Jong, *Director & Owner, Ethics & Business Integrity Services b.v.*

Maarten has over 30 years experience in forensic & proactive integrity policies & practices. In 2000, Maarten was nominated founder & director of the department of Institutional Integrity, World Bank in Washington, D.C., USA, reporting directly to the President of the World Bank. This newly created Department included the further development of the World Bank's Professional Conduct Program & the establishment of a Business Integrity governance programme with a special focus on proactive integrity strategies & anti-corruption & fraud investigations. Before joining the World Bank, Maarten was co-founder & member of the senior management of the European Union Police Agency, EUROPOL, Ethics & Business in Strasbourg (Fr.) & Den Haag.

Sergey Martynov, *Chief Audit Executive, Siberian Coal Energy Company (& President of the Russia Chapter of the ACFE)*

Mr. Martynov is the President of the Russia Chapter of the Association of Certified Fraud Examiners. He has more than 10 years' work experience in the field of internal audit & control, including fuel, energy & consulting companies. In August 2005 Sergey was appointed Chief Audit Executive in the Siberian Coal Energy Company. Earlier he headed internal audit & internal control departments of large oil & telecommunication companies & also led projects on internal audit & internal control systems creation for the largest enterprises of fuel & energy complex of Russia. Siberian Coal Energy Company OJSC (SUEK) is the biggest coal group in Russia & the only Russian company among the top ten global coal-mining corporations.

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**About The Venue**

The conference will take place at:

The Victory Services Club,  
 63-79 Seymour Street,  
 Marble Arch, London W2 2HF  
 Tel: +44 020 7723 4474.  
 Email: [info@vsc.co.uk](mailto:info@vsc.co.uk)  
 Web: [www.vsc.co.uk](http://www.vsc.co.uk)

The Victory Services Club is a Tri-Service, All Ranks Members' Club for currently Serving & Retired members of the Armed Forces, ideally located at Marble Arch in the heart of London's West End. Participants of the Fraud & Corruption Summit 2010 are also invited to take advantage of this unique Central London accommodation!

The Club facilities include 192 bedrooms, restaurants & bars. Centrally located at the West End of Oxford Street, the largest shopping street in Europe, & near to all London's major tourist attractions, West End theatres, sports venues, restaurants & the best shopping in London, the VSC is the perfect place to stay when you're in the UK capital. The Club is also a short walk to Hyde Park.

**Accommodation at The Victory Services Club:**

MIS Training Institute has arranged discounted rates at The Victory Services Club:  
 Single Room Ensuite, Memorial Wing, £68.00. Single Room Ensuite, Centenary Wing, £71.00.  
 The prices above are per room per night, including breakfast & VAT.

All accommodation bookings should be directed to the reservations team at the Victory Services Club on 020 7616 8335 or by e-mail on [res@vsc.co.uk](mailto:res@vsc.co.uk)

When making your booking with the hotel, please quote: 'MIS Training Institute's '4th Annual Fraud & Corruption Summit 2010'.

MIS Training is not liable or responsible for any hotel bookings. We cannot guarantee availability as limited rooms are available. We would advise booking as soon as possible.

**Alternative Nearby Accommodation** - Radisson Blu Portman Hotel, 22 Portman Square  
 London W1H 7BG United Kingdom Tel: +44 (0)20 7208 6000  
 (please note MIS Training does not have agreed discounted rates).



When registering please quote reference ISSA EMEA

**Register by 13th January 2010 to receive two FREE books worth £120!****Please Tick Your Preferred 2 Books**

- Corruption in International Business** - Sharon Eicher  
 **Deception at Work** - Michael J. Comer and Timothy E. Stephens  
 **Fraud and Corruption** - Nigel Iyer and Martin Samociuk  
 **Intelligent Internal Control and Risk Management** - Matthew Leitch  
 **Investigating Corporate Fraud** - Michael J. Comer  
 **A Short Guide to Fraud Risk** - Nigel Iyer and Martin Samociuk

\*Please note that you must attend the summit to receive the books.

**Registration Information** (fees must be paid in advance of the event)**Included in the Fee:**

The Fee Includes: Entry to the 3-day summit, Participation in 'Dramatic Fraud Pub Quiz' (17th March), All lunches & daily refreshments, Full presentation materials on USB stick & leather workbook portfolio, Web-link to updated materials will be emailed to you the week following the event, Certificate for CPE Points

**Special group savings – please call for details****Early Bird Gift**  
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3-Day Fraud & Corruption Summit 2010 (17-19 March 2010) including 10% Discount	£1,795.50 + 15% VAT	✔
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**Cancellation Policy:** Should a delegate be unable to attend, a substitute may attend in his or her place. A credit or refund, minus 10% administration charge, is available if written notification is received by 25th February 2010. Thereafter, no refunds will be given. MIS reserves the right to change or cancel this programme due to unforeseen circumstances.

**AN INTEGRAL PART OF THE PROGRAMME! DON'T MISS THE NEW DRAMATIC FRAUD PUB QUIZ - EVENING 17TH MARCH!**

*"Brings fraud to life in a most dramatic way!"*

Ros Wright QC, Chairperson,  
 Fraud Advisory Panel

(quote from the "Greed" Dinner at  
 Fraud & Corruption Summit 2009).

Relax with peers over drinks at this new thematic 'pub quiz' style evening! Short, inter-linking scenes depicting tales of temptation and greed will be played out in a corporate setting by professional actors. You will be asked in teams to offer your views on the unfolding action, what went wrong & how things should have been done better. Prizes will be awarded to the most perceptive team. The Dramatic Pub Quiz is composed by Nigel Iyer.

The thematic dinner is included in the summit fee.

